**DECLARATION**
**on the person of the beneficial owner according to Act LIII of 2017 on the prevention and combating of money laundering and terrorist financing (AML/CFT Act)**
**(for companies)**

| I, the undersigned: | I, the undersigned: |
|---|---|
| Name: ................................................................. | Name: ................................................................. |
| Place and date of birth: ....................................... | Place and date of birth: ....................................... |
| Address: .............................................................. | Address: .............................................................. |

in the name of the following business organisation that I am acting for:

Company name/Short name: ....................................................................... Client number:................

Seat: ......................................................................................................... Branch code: .................

Number of identification document: ...............................................................................................

**being fully aware of my legal liability hereby declare to ERSTE BEFEKTETÉSI Zrt. (1138 Budapest, Népfürdő u. 24-26.; hereinafter: the Company) that, during the business relationship or when giving transaction order at the Company in case of the company represented by myself beneficial owner(s) is/are the following person(s):**

**I.**

| | | | |
|---|---|---|---|
| 1. | Surname and first name | | |
| 2. | Birth name | | |
| 3. | Address (or place of residence) | | |
| 4. | Nationality | | |
| 5. | Place and date of birth | | |
| 6. | Nature of the ownership | Please select one. | |
| 7. | Extent of the ownership | indirectly | % |
| | | directly | % |
| 8. | Beneficial owner is<br>- politically exposed person (PEP) | No: ◉ Yes: ○ Please select one. | |
| | - Family member of a politically exposed person:<br>- Surname and first name of the PEP:.........................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. | |
| | - Close associate of a politically exposed person<br>- Surname and first name of the PEP:....................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. | |

| | | | |
|---|---|---|---|
| 1. | Surname and first name | | |
| 2. | Birth name | | |
| 3. | Address (or place of residence) | | |
| 4. | Nationality | | |
| 5. | Place and date of birth | | |
| 6. | Nature of the ownership | Please select one. | |
| 7. | Extent of the ownership | indirectly | % |
| | | directly | % |
| 8. | Beneficial owner is<br>- politically exposed person (PEP) | No: ◉ Yes: ○ Please select one. | |
| | - Family member of a politically exposed | No: ◉ Yes: ○ Please select one. | |

| | | |
|---|---|---|
| | person:<br>- Surname and first name of the PEP:.........................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | Please select one. |
| | - Close associate of a politically exposed person<br>- Surname and first name of the PEP:....................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. |

| | | | | | |
|---|---|---|---|---|---|
| 1. | Surname and first name | | | | |
| 2. | Birth name | | | | |
| 3. | Address (or place of residence) | | | | |
| 4. | Nationality | | | | |
| 5. | Place and date of birth | | | | |
| 6. | Nature of the ownership | Please select one. | | | |
| 7. | Extent of the ownership | indirectly | | | % |
| | | directly | | | % |
| 8. | Beneficial owner is<br>- politically exposed person (PEP) | No: ◉ Yes: ○ Please select one. | | | |
| | - Family member of a politically exposed person:<br>- Surname and first name of the PEP:.........................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. | | | |
| | - Close associate of a politically exposed person<br>- Surname and first name of the PEP:....................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. | Surname and first name | | | | |
| 2. | Birth name | | | | |
| 3. | Address (or place of residence) | | | | |
| 4. | Nationality | | | | |
| 5. | Place and date of birth | | | | |
| 6. | Nature of the ownership | Please select one. | | | |
| 7. | Extent of the ownership | indirectly | | | % |
| | | directly | | | % |
| 8. | Beneficial owner is<br>- politically exposed person (PEP) | No: ◉ Yes: ○ Please select one. | | | |
| | - Family member of a politically exposed person:<br>- Surname and first name of the PEP:.........................................................<br>- Date of birth of the PEP:.........................................................<br>- Type of his/her PEP status: | No: ◉ Yes: ○ Please select one.<br>Please select one. | | | |
| | - Close associate of a politically exposed person<br>- Surname and first name of the PEP:.................................................... | No: ◉ Yes: ○ Please select one.<br>Please select one. | | | |

| | |
|---|---|
| | - Date of birth of the PEP:........................................................<br>- Type of his/her PEP status: | |

**II.**

Based on the Act XIX of 2014 on the agreement between the Government of Hungary and the Government of the United states of America to improve international tax compliance and to implement FATCA (FATCA Act) and according to the Act XXXVII of 2013 on certain rules of the international administrative cooperation related to taxes and other types of public duties (CRS Act), I the undersigned hereby declare that the customer business organization that I am acting for:

a) **qualifies as a U.S. taxpayer**:
- ○ No
- ○ Yes, tax identification number (SSN/TIN): ...........................................................

b) **has a tax residence in a country which is different from Hungary**:
- ○ No
- ○ Yes, country and tax identification number (SSN/TIN): .............................................

c) **qualifies as a Passive Non-Financial Entity***:
- ○ No
- ○ Yes: (If the answer is „YES" the following table has to be fulfilled!)

| | **Name:** | **Residence for tax purposes:** | **TIN/SSN** (in case of a country which is different from Hungary)**:** |
|---|---|---|---|
| **Beneficial owner(s)** | | | |
| | | | |
| | | | |
| | | | |

I, the undersigned hereby declare that the above Statement reflects the actual situation as at the time of establishing a business relation/placing a transaction order with the Company.

Furthermore, I hereby confirm my awareness of the rule that I am required to report to the Company within five working days any changes in my personal data or in the information which I provided in the above statement. I also acknowledge that I shall be liable for all damages that arise from my failure to fulfil these obligations.

**Date/place: ..............................., .............. /day/ ................../month/ .......... /year/**

..........................................................................................

***due signature***

**(person(s) entitled to procuration, announced at the bank)**

***DEFINITIONS**

***Politically exposed person*:**

Natural person who has been entrusted with prominent public functions' shall include:
a) heads of State, heads of government, ministers and deputy ministers, state secretaries, in Hungary the head of State, the Prime Minister, ministers and state secretaries;
b) members of parliament or of similar legislative bodies, in Hungary members of parliament and spokesmen for the nationality;
c) members of the governing bodies of political parties, in Hungary members and officers of the governing bodies of political parties;
d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, in Hungary members of the Alkotmánybíróság (Constitutional Court), of the courts of appeal and the Kúria (Curia);
e) members of courts of auditors or of the boards of central banks, in Hungary the President and Vice-President of the Állami Számvevőszék (State Audit Office), members of the Monetáris Tanács (Monetary Council) and the Pénzügyi Stabilitási Tanács (Financial Stability Board);
f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces, in Hungary the head of the central body of law enforcement bodies and organizations and his deputy, Chief of Staff of the Hungarian Army and Deputy Chiefs of Staff of the Hungarian Army;
g) members of the administrative, management or supervisory bodies of enterprises with majority state ownership, in Hungary the managing directors of enterprises with majority state ownership, including members of the management body exercising control or supervisory rights of such enterprises;
h) directors, deputy directors and members of the board or equivalent function of an international organization

***Family members of politically exposed person***' shall include the spouse or domestic partner of a politically exposed person; the biological and adopted children, stepchildren and foster children and their spouses or domestic partners, of a politically exposed person; the biological, adoptive, step- and foster parents of a politically exposed person.

***Persons known to be close associates of politically exposed persons*** shall include:
a) any natural person who is known to have joint beneficial ownership of legal entities or unincorporated organizations, or any other close business relations, with a person referred to in Subsection (2);
b) any natural person who has sole beneficial ownership of a legal entity or unincorporated organization which is known to have been set up for the benefit of a person referred to in Subsection (2).

*The term **„Passive NFE"** means:*

a. any NFE that is not an Active NFE; or

b. an Investment Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
   - trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
   - individual and collective portfolio management; or
   - otherwise investing, administering, or managing Financial Assets or money on behalf of other persons

   **and** that is not a Participating Jurisdiction Financial Institution; or

c. an Investment Entity which has the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, and that is not a Participating Jurisdiction Financial Institution

d. NFE withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations.

*An **"Active NFFE"** means* any NFFE that meets any of the following criteria:

a. Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;

b. The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;

c. The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;

d. The NFFE is a government (other than the U.S. government), a political subdivision of such government (which, for the avoidance of doubt, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;

e. Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity shall not qualify for NFFE status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

f. The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE;

g. The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;

h. The NFFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

i. i) The NFFE is an “excepted NFFE” as described in relevant U.S. Treasury Regulations; or

j. j) The NFFE meets all of the following requirements:
    i. It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
    ii. ii. It is exempt from income tax in its jurisdiction of residence;
    iii. It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
    iv. The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents do not permit any income or assets of the NFFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFFE’s charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFFE has purchased; and
    v. The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents require that, upon the NFFE’s liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFFE’s jurisdiction of residence or any political subdivision thereof.